



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION

Patent No.: 6,470,844

BIESS et al.

Issued: October 29, 2002

Appln. No.: 09/773,072

Group Art Unit: 3747

Filed: January 31, 2001

Examiner: ALI, H.

Title: SYSTEM AND METHOD FOR SUPPLYING AUXILIARY POWER TO A LARGE DIESEL ENGINE

November 15, 2002

REQUEST FOR CERTIFICATE OF CORRECTION  
UNDER 37 U.S.C. §254 AND PURSUANT  
TO 37 C.F.R. §1.322

Hon. Asst. Commissioner  
of Patents  
Washington, D.C. 20231

Certificate  
NOV 20 2002  
of Correction

Sir:

It is respectfully requested that a Certificate of Correction be issued in the subject patent for printing errors made by the Patent and Trademark Office.

Attached are two properly completed Certificate of Correction Forms PTO-1050 showing the needed corrections. It is requested that these forms be certified by the Commissioner, with one form being returned to the undersigned attorney.

Review of the file shows that the errors must have occurred in the printing of the patent. The Applicants' Petition to Correct Inventorship by adding Christer Gotmalm as an inventor was granted before issuance of the present patent, as shown by the enclosed copy of the notice.

NOV 20 2002

It is respectfully submitted that, in the circumstances, correction is in order under the provisions of 37 CFR 1.322 for errors made by the Patent and Trademark Office in the printing of the patent. Accordingly, no fee is accompanying this Request.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By: 

Jack S. Barufka

Reg. No.: 37,087

Tel. No.: (703) 905-2012

Fax No.: (703) 905-2500

JSB/CMC  
1600 Tysons Boulevard  
McLean, VA 22102  
Tel. No.: (703) 905-2000

**RECORDATION FORM COVER SHEET**  
**PATENT APPLICATIONS & PATENTS ONLY**

TO THE ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS:  
 SIR: PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. NAME OF CONVEYING PARTY(IES) (ASSIGNORS(S)):

1. Christer Gotmalm

3.

5.

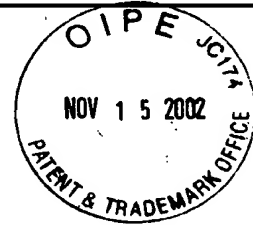
7.

2.

4.

6.

8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

## 2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: CSXT Intellectual Properties Corporation

ADDRESS: 500 Water Street, Jacksonville, Florida 32202

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

## 3. NATURE OF CONVEYANCE (DOCUMENT):

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

☒ ASSIGNMENT OF ☒ WHOLE ☐ PART INTEREST  
☐ ORIGINAL ☒ FACSIMILE/PHOTOCOPY  
☐ CHANGE OF NAME ☐ VERIFIED TRANSLATION  
☐ SECURITY ☐ MERGER ☐ OTHER:

EXEC. DATE: November 13, 2002

EXECUTION DATE(S) ON THE DECLARATION IF FILED HERewith: (**NOTE:** IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!)

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached? ☐ YES ☒ NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 <sup>st</sup> INVENTOR if not in item 1
			6,470,844	0291685	Lawrence J. Biess

5. Name & Address of Party to Whom Correspondence  
 Concerning Document Should be Mailed:

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**  
 P.O. Box 10500 McLean, VA 22102

6. NUMBER INVOLVED:

APPLNS 0 + PATS 1 = TOTAL = 1

7. AMOUNT OF FEE DUE: (Code 581)

ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

P 0291685

CSX.0217

8. PLEASE CHARGE TO OUR DEPOSIT ACCOUNT

NUMBER: 03-3975

UNDER ORDER NO

011591

0291685

MATTER NO.

CLIENT REF.

dup. sheet not required

CLIENT NO.

MATTER NO.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. Total number of pages including this  
 cover sheet, attachments and document  
 (do not file dup. Cover sheet)

4

Signature

Attorney: Jack S. Barufka

Reg. No. 37087

Atty/Sec: JSB/SM

TEL: (703) 905-2012

Date: November 15 2002

FAX: (703) 905-2500

FILE WITH PTO RETURN RECEIPT (PAT-103A)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20221  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/773,072	01/31/2001	Lawrence J. Biess	CSX.0217	6062

909 7590 09/19/2002

PILLSBURY WINTHROP, LLP  
P.O. BOX 10500  
MCLEAN, VA 22102



EXAMINER

ALI, HYDER

ART UNIT PAPER NUMBER

3747

12

DATE MAILED: 09/19/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

**RECEIVED**  
PILLSBURY WINTHROP LLP/VA

SEP 24 2002

CL 11591 MT# 291685  
ATTY(S) CPA CMC  
DUE: N/A  
DKT BY (1) dua (2) dua



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
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EXAMINER
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ART UNIT	PAPER
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12

DATE MAILED:

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

In view of the papers filed on July 19, 2002, it has been found that this nonprovisional application, as filed, through error and without deceptive intent, improperly set forth the inventorship, and accordingly, this application has been corrected in compliance with 37 CFR 1.48(a). Adding Christer Gotmalm as an inventor has changed the inventorship of this application. The application will be forwarded to the Office of Initial Patent Examination (OIPE) for issuance of a corrected filing receipt, and correction of the file jacket and PTO PALM data to reflect the inventorship as corrected.

Gene Mancene  
Supervisory Patent Examiner  
Group 3700

Staple  
Here  
Only  
Printer's  
Trim  
Line →

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,470,844  
DATED : Oct. 29, 2002  
INVENTOR(s) : BIESS et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

**ON THE FACE OF THE PATENT**

Please change "(75) Inventor:" to --(75) Inventors:--

After "Lawrence J Biess, Jacksonville, FL (US)", please add:  
--; Christer Gotmalm, Sault Ste Marie, Ontario (CA)--

MAILING ADDRESS OF SENDER

PATENT NO. 6,470,844

Jack S. Barufka  
Pillsbury Winthrop LLP  
Intellectual Property Group  
P.O. Box 10500  
McLean, VA 22102

Staple  
Here  
Only  
Printer's  
Trim  
Line

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,470,844 *B2*  
DATED : Oct. 29, 2002  
INVENTOR(s) : BIESS et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

~~ON THE FACE OF THE PATENT~~

*Title Pg. 1, Item [75],*

Please change "(75) Inventor:" to --(75) Inventors:-- *and*

After "Lawrence J Biess, Jacksonville, FL (US)", please add:

--; Christer Gotmalm, Sault Ste Marie, Ontario (CA)--

MAILING ADDRESS OF SENDER

PATENT NO. 6,470,844

Jack S. Barufka  
Pillsbury Winthrop LLP  
Intellectual Property Group  
P.O. Box 10500  
McLean, VA 22102

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 6,470,844 B2  
DATED : October 29, 2002  
INVENTOR(S) : Biess et al.

Page 1 of 1

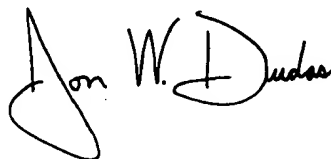
It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Title page,

Item [75], please change "(75) Inventor:" to -- (75) Inventors: --; and after  
"Lawrence J Biess, Jacksonville, FL (US)", please add:  
-- ; Christer Gotmalm, Sault Ste Marie, Ontario (CA) --

Signed and Sealed this

Seventeenth Day of February, 2004



JON W. DUDAS

*Acting Director of the United States Patent and Trademark Office*